

July 2020

## Corporate Sponsorship Guidelines

### Charitable Giving Focus

MUFG Union Bank, N.A. accepts responsibility as a major corporate citizen to help meet the needs of the communities in which it does business. The Bank provides corporate sponsorships in the following broad program areas, but focuses on the three priority areas and associated subcategories italicized below\*:

- **Affordable Housing\***: Improve housing stability and influence public policy

Examples of programs include and are not limited to:

- Housing development and rehabilitation
- Emergency/transitional/permanent housing
- Public Policy

- **Economic Development\***: Catalyze economic growth and prosperity

Examples of programs include and are not limited to:

- Small business support and innovation
- Job training and career development
- Financial education
  - Money management/credit counseling
  - Homeownership counseling
- Scholarships (College/Career)
- Youth development (K-12 programs & LMI access)
  - Arts education
  - Environmental education
  - STEM
  - Tutoring/homework help

- **Stewardship and Sustainability\***: Support environmental and social well-being

Examples of programs include and are not limited to:

- Basic needs (Food security)
- Land preservation and resource conservation
- Clean water/clean energy

- Arts and Culture
- Emergency Services
- Health

Monitoring, assessment, and review of these needs are the responsibility of local area contribution committees staffed by Bank employees, under the supervision of the Bank Contributions Committee. In making

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determinations on corporate sponsorship requests, the Committees place emphasis on mutually beneficial events and initiatives where our employees, clients and communities partner to propel all our communities to a better financial future.

### **Requests**

Because the Foundation receives funding requests in excess of the annual grant program budget, we must decline support to worthy organizations, events and programs. We may decline support to organizations we have previously supported to expand engagements. Support should not be expected to continue in perpetuity. And declination does not reflect a negative appraisal of the organization or the value of its events, programs and services.

Funding applications requesting event sponsorships should be submitted at least 90 days in advance of the event date.

### **Information Required**

When you apply for funding online ([www.mufgunionbank.versaic.com/login](http://www.mufgunionbank.versaic.com/login)) you will be asked to provide the following information:

- Taxpayer I.D. for verification of your 501(c)(3) tax-exempt status
- W-9 (2018 form)
- Financial statements
- Event description, including sponsorship levels and benefits
- A list of major funders

An example of the application inclusive of conditional questions can be found on the login page.

### **Exclusion from Support**

- Individuals
- Travel, including student trips
- Political, labor, or fraternal activities
- Political action committees, campaigns, causes, candidates or lobbying
- Service club activities
- Other intermediary foundations (i.e., foundations which, in turn, make grants to other charities)
- Public or private individual elementary or secondary level schools and scholarship programs to support attendance at public or private individual elementary or secondary schools
- Religious organizations or programs aimed at promoting a particular faith or creed, or programs that are otherwise religious.

*Note: Programs that operate under the sponsorship of a religious organization that are open to all community members, are not religious and do not require participation in religious activities may be considered.*

- Organizations that discriminate in any way with regard to national equal opportunity policies and/or advocate, support, or practice activities inconsistent with MUFG Union Bank's non-

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discrimination policies, whether based on race, color, religion, gender, gender identity or sexual expression, sexual orientation, national origin, disability, age or status as a protected veteran.

The Bank's local area contribution committees meet monthly. Questions should be directed to the appropriate regional staff as follows:

**Region - California: Orange County, Imperial County, Inland Empire, San Diego**

JR Raines  
530 B Street, Suite 1450  
Mail Code S-1450  
San Diego, CA 92101  
Email: JR.Raines@unionbank.com

**Region - Central Valley & Northern California, Pacific Northwest**

Ashley Abenoja-Bocek  
7108 N. Fresno Street, Suite 200  
Fresno, CA 93720  
Email: Ashley.AbenojaBocek@unionbank.com

**Region - California: Central Coast & Los Angeles**

Susan Cavalli  
1021 Anacapa Street, 1<sup>st</sup> Floor  
Mail Code 4-42C-1005  
Santa Barbara, CA 93101  
Email: Susan.Cavalli@unionbank.com

**Region - Atlanta, Arizona, Chicago, New York/Jersey, Texas**

Yuka Hashimoto  
1251 Avenue of the Americas, 12 floor  
New York, NY 10020  
Email: ynakamura@us.mufg.jp

Rev. July 1, 2020