

July 2020

## Foundation Grant Guidelines

### Funding Priorities

The MUFG Union Bank Foundation proactively invests in the communities where MUFG Union Bank, N.A., and its businesses have a significant presence to propel all our communities to a better financial future. Organizations seeking funding may apply for either general operating or programmatic support.

The foundation focuses on the following strategic program areas:

- **Affordable Housing:** Improve housing stability and influence public policy

Examples of programs include and are not limited to:

- Housing development and rehabilitation
- Emergency/transitional/permanent housing
- Public Policy

- **Economic Development:** Catalyze economic growth and prosperity

Examples of programs include and are not limited to:

- Small business support and innovation
- Job training and career development
- Financial education
  - Money management/credit counseling
  - Homeownership counseling
- Scholarships (College/Career)
- Youth development (K-12 programs & LMI access)
  - Arts education
  - Environmental education
  - STEM
  - Tutoring/homework help

- **Stewardship and Sustainability:** Support environmental and social well-being

Examples of programs include and are not limited to:

- Basic needs (Food security)
- Land preservation and resource conservation
- Clean water/clean energy

### Funding level

The foundation tends to make a large number of smaller grants.

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### **Factors considered by the Foundation**

Because the Foundation receives funding requests in excess of the annual grant program budget, we must decline support to worthy organizations and programs. We may decline support to organizations we have previously supported to expand community engagements. Support should not be expected to continue in perpetuity and declination does not reflect a negative appraisal of the organization or the value of its programs and services.

The following factors are among those the foundation will consider:

- Innovation and/or differentiation
- Demonstrated outcomes and impact
- Service delivery to low-and moderate-income and diverse populations
- The management of the organization
- The financial health of the organization
- General reputation and standing in the community

### **Information required**

When you apply for funding online ([www.mufgunionbank.versaic.com/login](http://www.mufgunionbank.versaic.com/login)), to be eligible for consideration for a grant, an organization must provide the following information:

- Taxpayer identification number for verification of your 501(c)(3) tax-exempt status
- A mission statement, program/service summary, and major accomplishments
- Specific program or project description for which funding is being sought, including the amount of your request and prior outcomes
- Board of directors list
- Current annual operating budget
- Most recent audited financial statements or, alternatively, if not required to prepare audited statements, the most current company prepared financial statements
- Three-year trend of operating revenues
- Three-year trend in net assets (total revenues minus total expenses)
- A current list of major contributors and giving levels
- W-9 (2018 form)

An example of the grant application inclusive of conditional questions can be found on the login page.

### **Excluded from support**

- Individuals
- Travel, including student trips
- Political, labor, or fraternal activities
- Political action committees, campaigns, causes, candidates or lobbying
- Service club activities
- Other intermediary foundations (i.e., foundations that use grant proceeds to make grants to other charities)

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- Fundraising activities such as charitable dinners, benefits, sporting events, and capital campaigns
  - Purchase of tickets and memberships
  - Public or private individual elementary or secondary level schools and scholarship programs to support attendance at public or private individual elementary or secondary schools
  - Grants for deficit reduction
  - Advertising
  - Religious organizations or programs aimed at promoting a particular faith or creed, or programs that are otherwise religious.

*Note: Programs that operate under the sponsorship of a religious organization that are open to all community members, are not religious and do not require participation in religious activities may be considered.*

- Organizations that discriminate in any way with regard to national equal opportunity policies and/or advocate, support, or practice activities inconsistent with MUFG Union Bank's non-discrimination policies, whether based on race, color, religion, gender, gender identity or sexual expression, sexual orientation, national origin, disability, age or status as a protected veteran.

Questions should be directed to the appropriate area Foundation Officer as follows:

**Region - California: Orange County, Imperial County, Inland Empire, San Diego**

JR Raines  
530 B Street, Mail Code S-1450  
San Diego, CA 92101  
Email: JR.Raines@unionbank.com

**Region - Central Valley & Northern California, Pacific Northwest**

Ashley Abenoja-Bocek  
7108 N. Fresno Street, Suite 200  
Fresno, CA 93720  
Email: Ashley.AbenojaBocek@unionbank.com

**Region - California: Central Coast & Los Angeles**

Susan Cavalli  
1021 Anacapa Street, 1<sup>st</sup> Floor, Mail Code 4-42C-1005  
Santa Barbara, CA 93101  
Email: Susan.Cavalli@unionbank.com

**Region – Atlanta, Arizona, Chicago, New York/Jersey, Texas**

Yuka Hashimoto  
1251 Avenue of the Americas, 12 floor  
New York, NY 10020  
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