

Borrower \_\_\_\_\_

The following enclosed forms need to be completed, signed and dated by each borrower, borrowing entity and guarantor:

Req'd	Rec'd	N/A	<b>LOAN FORMS</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-1A: Individual Multi Family and Commercial Loan Application
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Form 00325: HMDA GMI Collection Addendum (for individual borrowers only)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-1B: Entity Multi Family and Commercial Loan Application
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-2: Third Party Information Contact Page
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-3: Authorization to Verify, Obtain and Release Info
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-4: Vesting Instructions
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-5: Customer Identification Program ("CIP") Disclosure
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	FinCEN Attestation Form
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-6A: Schedule of Real Estate
			<u>Select applicable Rent Roll and Income &amp; Expense Statement</u>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-7A: Multi Family Rent Roll
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-7B: Mobile Home Park Rent Roll (Not required for Commercial)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-7C: Commercial Rent Roll
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-8A: Multi Family and Mobile Home Park Income and Expense Statement (Unless Borrower's format is acceptable)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-8B: Commercial Income and Expense Statement (Unless Borrower's format is acceptable)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CMD-9: Environmental Questionnaire for Borrower/Seller
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Form 4506-T
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Form W-9

Req'd	Rec'd	N/A	<b>FINANCIAL DOCUMENTATION REQUIREMENTS</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Copy of bank/liquidity account statements (most current statement only)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Federal tax returns for previous 2 years for each borrowing entity/guarantor (MUST BE SIGNED & DATED)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Tax returns must include all K-1 statements for all years
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Provide 3 years federal tax returns if loan request amount is greater than 3 million dollars
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Provide 3 years federal tax returns if borrower/guarantor is a self-employed business owner

Req'd	Rec'd	N/A	<b>OTHER REQUIRED ITEMS</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Escrow Instructions
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Preliminary Title Report
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Purchase Agreements (if sale)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Copy of valid driver's license or acceptable photo identification document (must be legible and unexpired)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Copy of broker license (if applicable)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Broker W-9 form (if applicable)

If the borrowing entity is a Corporation, Limited Partnership, General Partnership, Limited Liability Company or Trust, please provide:

Req'd	Rec'd	N/A	<b>Corporation:</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Articles of Incorporation (filed with the Secretary of State)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Corporate By-Laws including all amendments
			<b>Limited Partnership/General Partnership:</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Partnership Agreement including all amendments (must be fully executed)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Statement/Certification of Partnership Formation (recorded copy)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	LP-1 or LP-2 filed with State and recorded with county (California LPs only)
			<b>Limited Liability Company:</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Membership Agreement/Operating Agreement including all amendments (must be fully executed)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Articles of Organization (must be fully executed)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	LLC-1 filed with State and recorded with County (California LLCs only)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Most current LLC-12 filed with State and recorded with County (California LLCs only)
			<b>Trust:</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Trust Certification
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Copies of the pages of the Trust Agreements and any amendments showing the following information: <ul style="list-style-type: none"> <li>• Name of the Trust</li> <li>• Names of Trustors, Trustees, and Successor Trustees</li> <li>• Date on which the Trust was established</li> <li>• Signatures of the Trustors</li> </ul>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If Non-Revocable Trust, Statement/Certificate of Trust filed with the Secretary of State

Req'd	Rec'd	N/A	<b>ADDITIONAL INFORMATION REQUIRED</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____

To access Union Bank Multi Family and Commercial Loan Forms, go to [www.unionbank.com/mortgagebrokerservices](http://www.unionbank.com/mortgagebrokerservices)